

2018年9月30日

尊敬的客戶：

客戶通知

謹此通知，為保障長期沒有任何活動的帳戶，本公司已訂立不動帳戶（定義如下）及非活躍帳戶（定義如下）的新政策，以免客戶受因未經授權使用該等帳戶而引致潛在損失，及防止非活躍帳戶被用於洗錢或其他非法目的。

『不動帳戶』

不動帳戶是指客戶的證券、期貨及/或股票期權帳戶在過去兩年內沒有任何活動（包括交易、資金存款/提款、股票存入/提出、由公司行動而引致的股票及/或資金移動），及**帳戶內並無任何資產**（現金和產品）。

『非活躍帳戶』

非活躍帳戶是指客戶的證券、期貨及/或股票期權帳戶在過去兩年內沒有任何活動（包括交易、資金存款/提款、股票存入/提出及由公司行動而引致的股票及/或資金移動），而帳戶內仍持有資產（現金及/或產品）。

不動帳戶或非活躍帳戶將不可進行任何交易及現金或股票提取。如客戶需要重新啟動不動帳戶或非活躍帳戶，可與閣下的客戶經紀聯絡或到本公司網頁 www.lff.com.hk 下載『重新啟動不動戶/非活躍帳戶表格』及提交回本公司；經本公司核實客戶身份後，有關帳戶將在兩個工作天內重啟。

由2019年1月1日起（『生效日期』），本公司將向只持有現金結餘及期末結餘低於港幣 \$1,000.00之非活躍帳戶收取每年港幣 \$200.00 的非活躍帳戶服務費，直至帳戶現金結餘歸零。

此客戶通知將作為本公司調整或推行以上相關政策90天前之通告。如閣下於生效日期或之後仍於本公司持有證券/期貨/期權帳戶，所有更改或新收費（視乎情況而定）將於生效日期自動生效及對閣下具有約束力。倘若有關修訂不獲閣下接納，本公司或會無法繼續為你提供有關服務，請親臨並通知本公司。

如有任何查詢，歡迎致電與您的客戶經紀聯絡。

此致

六福證券(香港)有限公司
六福期貨(香港)有限公司 謹啟

此乃電腦編印信件，毋須簽署

六福金融服務有限公司
Luk Fook Financial Services Limited

香港皇后大道中183號中遠大廈 22樓2201-2207 及 2213-2214室
Units 2201-2207 & 2213-2214, 22/F Cosco Tower, 183 Queen's Road Central, Hong Kong
Tel : (852) 2980 0888 Fax : (852) 2980 0777 Website : www.lff.com.hk



30th September 2018

Dear Valued Client,

Client Notification

We are pleased to inform you that a new policy to identify Dormant Accounts (as defined below) and Inactive Accounts (as defined below) is adopted so as to protect clients from potential loss due to unauthorized use of such accounts and to prevent inactive accounts from being used for money-laundering or other illegal purposes.

Dormant Accounts

Dormant Accounts are those client's Securities, Futures and/or Stock Options accounts which didn't have any activities (including trading, fund deposit/withdrawal, stock deposit/withdrawal and corporate actions that will result in stock and/or fund movements) for the past two years, and **do not have any balance** (both cash and product).

Inactive Accounts

Inactive Accounts are those client's Securities, Futures and/or Stock Options accounts which didn't have any activities (including trading, fund deposit/withdrawal, stock deposit/withdrawal and corporate actions that will result in stock and/or fund movements) for the past two years, but have balance (cash and/or product).

No transaction/withdrawal can be carried out through any Dormant Account and Inactive Account until the required reactive process is completed. If client requests to reactivate Dormant or Inactive Account, please kindly contact your AE or submit the "Dormant/Inactive Account Reactivation Form", which can be download from our website (www.lff.com.hk). Your request will be processed within 2 workings days upon we have verified client identity.

Starting from 1st January 2019 ("Effective Date") we will charge Inactive Account Service Fee of HKD \$200.00 per annum in advance to those Inactive Accounts with cash balance only and the cash balance is less than HK\$1,000.00, subject to a cap at the cash balance available

This client notification serves as our 90 days' notice to you regarding changes to or introduction of the relevant policy set out above. These changes will take effect automatically on the Effective Date and will be binding on you if you continue to maintain, on or after the Effective Date, your Securities / Futures / Stock Options account. If you do not accept the above amendments, we will not be able to continue providing services to you. Please notify us to cancel the account at our branch.

Should you have any enquiries, please contact your responsible Account Executive.

Yours faithfully,

Luk Fook Securities (HK) Limited
Luk Fook Futures (HK) Limited

(This is a computer printout and no signature is required)

六福金融服務有限公司
Luk Fook Financial Services Limited

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